During the 78th Legislature Regular Session (2003), The Texas Education Code was amended to require an annual electronic audit and report to the Legislature based on the audit findings.

House Bill 3 passed during the 81st Legislature Regular Session and maintained this requirement in Texas Education Code (TEC) §7.028, §39.057. §39.308 and §37.008

Data Validation Manual, 2015, p.4
Texas Education Agency’s *Division of Program Monitoring and Interventions* implements the data validation monitoring system.

Data used in the following:
- ✔ States Academic Accountability Rating System
- ✔ Performance Based Monitoring System
- ✔ State Performance Plan

Evaluates:
- ✔ Student Performance
- ✔ Program Effectiveness

Data Validation Manual, 2015, p.3
Annual Data Validation Purpose

To maintain data integrity and ensure that data submitted to the Texas Education Agency are accurate and reliable.

Examines an LEAs

1. *Leaver and Dropout Data (DVM-L) - 8 indicators*

2. *Discipline Data (DMD-D) - 8 indicators*

3. *Student Assessment Data (DVM-SA) – 15 indicators*

*Note: Random audits are conducted*

Data Validation Manual, 2015, p. 3-4
<table>
<thead>
<tr>
<th>Indicator Type</th>
<th>Result</th>
<th>Standards</th>
<th>District Response</th>
</tr>
</thead>
<tbody>
<tr>
<td>Leaver Records Data Validation</td>
<td>Suggests an anomaly</td>
<td>Based on annual review of data to identify anomalous data and trends observed over time</td>
<td>Validate accuracy of data locally and, as necessary, improve local data collection and submission procedures or address program implementation concerns</td>
</tr>
<tr>
<td>PBMAS</td>
<td>Yields a definitive result</td>
<td>Based on standards established in advance</td>
<td>Improve performance or program effectiveness or if identification occurred because of inaccurate data, improve data collection and submission procedures</td>
</tr>
</tbody>
</table>
### Timeline

<table>
<thead>
<tr>
<th>Data Validation</th>
<th>DVM-Leaver (8)</th>
<th>DVM-Discipline (8)</th>
<th>DVM-Assessment (15)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Data Source</strong></td>
<td>PEIMS 203 Records</td>
<td>PEIMS 425 Records</td>
<td>PEIMS 101 Records PEIMS 415 Records</td>
</tr>
<tr>
<td><strong>2013-2014</strong></td>
<td>#1 &amp; 8 Fall 2013 #1-5 &amp; 8 Fall 2013-2014</td>
<td>Indicator #8 2013-2014</td>
<td></td>
</tr>
<tr>
<td><strong>2012-2013</strong></td>
<td>#1 – Fall 2012</td>
<td>Indicator #9 2012-2013</td>
<td></td>
</tr>
<tr>
<td><strong>District Reports Posted</strong></td>
<td>October 30, 2015</td>
<td>November 20, 2015</td>
<td>December 18, 2015</td>
</tr>
<tr>
<td><strong>Staging</strong></td>
<td>January 15, 2016</td>
<td>March/Early April</td>
<td>February</td>
</tr>
<tr>
<td><strong>Intervention Activities Submission Deadlines</strong></td>
<td>February 19, 2016</td>
<td>TBD</td>
<td>TBD</td>
</tr>
</tbody>
</table>
## 2015 Leaver Indicators

<table>
<thead>
<tr>
<th>Number</th>
<th>Indicator</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Leaver Data Analysis</td>
</tr>
<tr>
<td>2</td>
<td>Underreported Students</td>
</tr>
<tr>
<td>3</td>
<td>Use of Leaver Reason Codes by District with No Dropouts</td>
</tr>
<tr>
<td>4</td>
<td>Use of One or More Leaver Reason Codes</td>
</tr>
<tr>
<td>5</td>
<td>Use of Certain Leaver Reason Dropout Codes</td>
</tr>
<tr>
<td>6</td>
<td>Missing PET Submission (August 17, 2015 - September 18, 2015)</td>
</tr>
<tr>
<td>7</td>
<td>Missing PET Submission (2014-2015 Reporting Year)</td>
</tr>
<tr>
<td>8</td>
<td>Continuing Students’ Dropout Rate (Class of 2013), as of Fall 2014</td>
</tr>
</tbody>
</table>

Data Validation Manual 2015, p. 4-5
Summary of DVM Leaver Indicators
Region One  Comparison 2013-2015

Number of Districts

DVM Leaver Indicators

2013  2014  2015

#1 Leaver Data Analysis  #2 Underreported  #4 Leaver Code 16  #4 Leaver Code 24  #4 Leaver Code 60  #4 Leaver Code 78  #4 Leaver Code 81  #4 Leaver Code 82  #5 Leaver Code 83  #5 Leaver Code 89  #6 Missing PET Fall 2015  #8 Continuing Student Dropout
# Data Reports

Reports and student-level data available via Accountability application on TEASE

<table>
<thead>
<tr>
<th>Districts Not Identified</th>
<th>Districts Identified</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Message posted:</strong> A PBM Leaver Report is not available for your district; reasons will be listed</td>
<td>District number of leavers w/reason code</td>
</tr>
<tr>
<td></td>
<td>Percent of leavers w/reason code</td>
</tr>
<tr>
<td></td>
<td>List of specific indicators triggered</td>
</tr>
</tbody>
</table>
### Sample Report

**Indicators missed will be listed**

**Data Validation Manual 2015, p. 6**
DVM Leaver Staging
To The Administrator Letter

DVM Leaver Staging

Date: January 9, 2016

TO THE ADMINISTRATOR ADDRESSED:

Subject: 2015-2016 Data Validation Monitoring Leaver Records Staging

The purpose of this letter is to provide districts, including charter schools, with 2015-2016 intervention staging information for data validation monitoring of leaver records (DVM-L).

District-level reports of the 2015 leaver records data validation indicators and student-level reports were posted to the TEA Secure Environment (TEASE) Accountability application on October 30, 2015. Reports are available only for districts that triggered one of the eight DVM-L indicators. Districts were encouraged to review and analyze those reports to ensure the leaver records data they submit reflect the requirements of the leaver record reporting, including the requirements contained in the 2015-2016 PEMS Data Standards and Appendix D. Based on that review, districts should determine whether any new or additional procedures needed to be implemented to ensure the accurate submission of leaver record data by the fall collection resubmission date of January 21, 2016.

For the 2015-2016 year, intervention staging for DVM-L was determined by which indicators and the number of indicators that the district had anomalous data, including longitudinal data for select indicators. Staging will be posted the week of January 11, 2016, in the Intervention, Stage, and Activity Manager (ISAM) application in TEASE. Through ISAM, a district can determine which stage of intervention has been assigned for DVM-L. All districts with anomalous data for any DVM-L indicator have been assigned an intervention stage.

Districts assigned to Stage 1 will complete the DVM-L workbook for the identified indicator and develop a DVM-L corrective action plan (DVM-L CAP) to address any leaver record coding or documentation discrepancies and/or noncompliance. Completion due date is February 18, 2016. Districts in Stage 1 will maintain the DVM-L workbook, DVM-L CAP, and supporting documentation locally. These districts will submit intervention and supporting documentation only upon request of the Texas Education Agency (TEA). Note this monitoring cycle, districts will complete and submit a Superintendent Attestation Statement to the agency through ISAM by February 19, 2016. This Attestation Statement is verifying that district intervention activities are complete and ready for submission to the agency, if requested.

Districts assigned to Stage 2 or 3 will complete the DVM-L workbook for the identified indicator(s) and develop a DVM-L CAP to address any leaver record coding or documentation discrepancies and/or noncompliance. The due date for completion and submission to TEA is February 18, 2016. Further, districts assigned to Stage 3 will engage in follow-up support activities to help ensure the successful implementation of corrective actions and leaver records data submission. Specific follow-up activities will be determined after the review of the submitted DVM-L workbook(s) and DVM-L CAP.

Additionally, all districts assigned an intervention stage will need to designate a DVM-L program contact in ISAM. Step-by-step instructions for completing this task are located on the DVM-L page of the TEA website.

Data validation interventions are coordinated with performance interventions to the extent possible and tailored to data accuracy concerns. If a district staged for interventions in the DVM system also is identified for an on-site program effectiveness review through the performance-based monitoring analysis system (PBMAS), the on-site review will include a review of data quality and data reporting systems.

Resources

Information related to the DVM-L indicators is available in the 2015 Leaver Records Data Validation Manual.

Intervention documents and tools, including the Data Validation Intervention Guidance Document, for conducting the DVM-L intervention activities will be posted to the TEA website on January 8, 2016, and will be available in ISAM the week of January 11, 2016. Districts selected for on-site data validation reviews will receive individualized correspondence from TEA detailing required intervention activities.

For questions about the 2015 Leaver Records Data Validation Manual, as well as any of the indicators, please contact Performance-Based Monitoring at (512) 936-6426 or by email at pbm@tea.texas.gov.

For questions about interventions, intervention resources, due dates, or submission extension requests, please contact the Program Monitoring and Interventions Division at (512) 463-5220 or by email at PMIdivision@tea.texas.gov.

Education service center (ESC) contacts will also be available as resources to districts as they complete DVM-L intervention requirements.

We appreciate your support of data validation monitoring and anticipate that a data-driven, performance-based approach will assist districts in their ongoing efforts to improve student achievement and data accuracy.

Sincerely,

Michael Greenwall, Ed.D.
Director, Program Monitoring and Interventions

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# 2015 Staging for Interventions

## How Was My District Selected for Data Validation Monitoring-Leaver Intervention Activities?

Data validation monitoring for leaver records (DVM-L) staging determinations and interventions are based upon district performance on eight core indicators.

The 2015 leaver records data validation analysis for these indicators is based on PEIMS data from the 2013-2014 school year, which were submitted by districts in fall of 2014. Indicators #1 and #8 include PEIMS data submitted in the fall of 2013; additionally, Indicator #1 includes PEIMS data submitted in fall 2012. The data source for Indicators #6 and #7 is Personal Identification Database (PID) Enrollment Tracking (PET) reports for August 18, 2014, through September 18, 2015. Staging for districts identified for Indicators #2 and #6 is based on a district having anomalous data for that indicator this year and over the past nine years.

Additional information on DVM-L indicators is provided in the [2015 Leaver Records Data Validation Manual](#), and information on district performance on individual indicators is found in the [Accountability application within the TEA Secure Environment (TEASE)](#).

<table>
<thead>
<tr>
<th>Stage</th>
<th>Criteria</th>
</tr>
</thead>
</table>
| 3     | Had anomalous data for:  
|       | • 3 or more indicators  
|       | OR  
|       | • Indicator #2 this year and at least 2 and up to 6 of the last 9 years  
|       | OR  
|       | • Indicator #5 this year and 3 of the last 9 years |
| 2     | Had anomalous data for:  
|       | • 2 indicators  
|       | OR  
|       | • Indicator #2 this year and up to one year of the last 9 years  
|       | OR  
|       | • Indicator #1  
|       | OR  
|       | • Indicator #4, leaver code 24  
|       | OR  
|       | • Indicator #5 |
| 1     | Had anomalous data for:  
|       | • Indicator #3  
|       | OR  
|       | • Indicator #4, any leaver code except 24  
|       | OR  
|       | • Indicator #6 this year and up to 2 of the last 9 years  
|       | OR  
|       | • Indicator #8  

*Indicator #7 is not included in the staging chart above because no districts triggered this indicator.*

Reminder:
Leaver Staging

Indicators 2 and 6 include longitudinal data.
## DVM Tiered Intervention Structure

<table>
<thead>
<tr>
<th>Stage 3</th>
<th>Stage 2</th>
<th>Stage 1</th>
</tr>
</thead>
</table>
| • Indicator workbook  
• CAP if appropriate  
• Submit to TEA  
• Feedback on submission  
• Additional follow-up and support | • Indicator workbook  
• CAP if appropriate  
• Submit to TEA  
• Feedback on submission | • Indicator workbook  
• CAP if appropriate  
• Retain locally  
• Random submissions  
• Superintendent attestation statement |

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An Attestation Statement will be required of all district that have been assigned a Stage 1 in DVM-Leavers.

Signing the form states that the district has completed required intervention activities for their assigned stage of intervention and will be ready to submit those intervention activities to the agency via ISAM, if requested.

The Attestation Statement is due February 19, 2016.
# DVM – Leaver Indicators

<table>
<thead>
<tr>
<th>Indicator</th>
<th>Description</th>
<th>Indicator Workbook</th>
<th>Student Level Data Source</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Leaver data analysis</td>
<td>Needs Assessment (NA)</td>
<td>Not Applicable</td>
</tr>
<tr>
<td>2</td>
<td>Underreported students</td>
<td>SLDR and NA</td>
<td>PEIMS Edit+</td>
</tr>
<tr>
<td>3</td>
<td>Use of leaver codes when no dropouts reported</td>
<td>SLDR and NA</td>
<td>DVM student list</td>
</tr>
<tr>
<td>4</td>
<td>Use of one or more leaver codes</td>
<td>SLDR and NA</td>
<td>DVM student list</td>
</tr>
<tr>
<td>5</td>
<td>Use of leaver codes</td>
<td>SLDR and NA</td>
<td>DVM student list</td>
</tr>
<tr>
<td>6</td>
<td>Missing PET school start window</td>
<td>NA</td>
<td>Not Applicable</td>
</tr>
<tr>
<td>7</td>
<td>Missing PET all year</td>
<td>NA</td>
<td>Not Applicable</td>
</tr>
<tr>
<td>8</td>
<td>Continuing students drop-out rate</td>
<td>NA</td>
<td>Resource tab in accountability</td>
</tr>
</tbody>
</table>

CAP completed for any indicator for which noncompliance is identified
# Student Level Data Review

Do not sort or filter information on this page!
Place cursor in column header box for additional guidance on information to include in that column.

<table>
<thead>
<tr>
<th>District Name:</th>
<th>County District Numbers:</th>
<th>Total # of student levels identified in PEIMS ED+ report PPR005: Presumed Underreported Leaves</th>
<th># Records Selected for Sample:</th>
<th>Stage of Interventions:</th>
</tr>
</thead>
<tbody>
<tr>
<td>District Contact Name:</td>
<td>District Contact Phone Number:</td>
<td>District Contact Email:</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

*Go to TEASE/Accountability/PBM/2015 Data Validation Reports: Leave Records/ 2015 Data Validation Report: Leave Records (Student Level Data in PDF) [Admin]*

<table>
<thead>
<tr>
<th>Indicator Number</th>
<th>Campus #</th>
<th>SSN/Student ID</th>
<th>Student Name (Last name, First name)</th>
<th>Date of Birth</th>
<th>Last Date in Attendance</th>
<th>Withdrawal Date</th>
<th>Leave Code</th>
<th>Type of Documentation</th>
<th>Other Comment</th>
<th>Documentation Date</th>
<th>Reason for Coding and Documentation Discrepancy</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>select</td>
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<td>select</td>
</tr>
</tbody>
</table>
References

**DVM Manuals**


**PEIMS Data Standards & Appendices**


**PMI TETN Sessions**
Texas Education Agency. (January 7, 2016) Program Monitoring and Interventions TETN Session.
Texas Education Agency. (December 3, 2015) Program Monitoring and Interventions TETN Session.
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Office of School Improvement, Accountability and Compliance

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